

# JDL SECURITIES CORPORATION

## YOUR PARTNER IN RETIREMENT PLANNING

### Why Choose JDL Securities as Your Retirement Partner?

Ours is a team effort focused on highly-personalized service, specializing in non-profit and for-profit corporate retirement plans. With this as our priority, and to maintain objectivity, JDL Securities is by choice an independent firm with absolutely no in-house products to market. Our sole interest is finding the best prescription from a variety of quality investment products to help our clients achieve their financial goals.



### What We Do For You:

We will assist you in the selection of the most sound and cost-effective plan, as well as monitor your plan's investments and retirement program. We will conduct a plan enrollment meeting, provide educational materials and help your employees understand the principles of retirement planning. In addition to providing service and advice, we will return to conduct ongoing investment education meetings. We will work with you, your administrator, your employees and the investment company to help your plan run smoothly, while helping you acknowledge, understand and comply with plan laws and your fiduciary responsibilities.



### Some of Our Retirement Plan Clients Include:

- Allied High Tech Products Inc.
- American Metal Supply
- AstroPak Corporation
- Burns Stainless
- Calvary Chapel Honolulu
- Chemi-Source Inc.
- Coast Hills Community Church
- Delta Air Quality Systems
- Design Escrow Inc.
- HAR-BRO Inc.
- Intervention Center for Early Childhood
- Microtek Electronics Inc.
- Olive Crest
- Pearson Sales Company
- Rock Harbor Church
- Screen Actors Guild Producers
- Seven J Investment Company
- Shea Therapeutic Riding Center
- TLC Pediatric Medical Group
- Vanguard University
- Visioneering Studios Inc.
- William's Wood Works
- Xerox Copier Products Group

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♦ 949/752-5206 ♦ For more information about our firm, visit us at [www.jdlsecurities.com](http://www.jdlsecurities.com)

SIPC member FINRA

### Who we are:

• **J. Derek Lewis, President & CEO** – Derek formed JDL Securities Corporation in 1991, an independent broker-dealer and a member of FINRA (formerly the NASD). Derek has extensive experience in investment due-diligence to provide the right asset allocation for each client and family household. He began his financial career in 1966 as a bank officer with Security Pacific National Bank and then entered the investment banking business in 1968 with Blyth Eastman Dillon, which later merged into Paine Webber. In 1979, Derek established J. Derek Lewis and Associates, a Registered Investment Advisor with the SEC and parent company of JDL Securities. He is a graduate of the University of Southern California, with a B.S. degree in Finance, and has served on the District 2 Committee of FINRA.

• **Kelly A. Dunagan, Jr., Financial Advisor** – Kelly joined JDL Securities in 2004. He specializes in evaluating, analyzing and organizing financial portfolios to assist his individual clients in reaching their financial goals. He also heads-up the corporate retirement plan division of JDL, implementing and servicing corporate retirement plans of all types and assisting employers in enrolling and educating employees. Kelly is also able to assist clients with their life insurance needs including term, annuity and long-term care options. Prior to joining the JDL team, Kelly was a Sales & Management Executive for eight years with a medical and aerospace manufacturer. While attending college, he interned at the Santa Barbara County Jail as an Own Recognizance Investigator. Kelly graduated pre-law and pre-med from the University of California, Santa Barbara in 1996.

• **Doug G. Hyepock, Financial Advisor** – Doug comes to JDL Securities with extensive expertise in charitable gifting, financial advising and investment planning. Prior to joining JDL, Doug served as Vice President of the Foundation for Christian Stewardship, an affiliate of the National Christian Foundation. Formerly, he advanced his client's financial objectives as a financial advisor with Charles Schwab & Company. Doug holds a B.A. in Organizational Communication from Arizona State University, and a M.A. in Theology from Fuller Theological Seminary. He has achieved the Certified Financial Planner, Chartered Financial Consultant, and Certified Specialist in Planned Giving professional designations.

• **Support & Operations** – *Front Office:* Your frontline assistance at JDL Securities is a support team of licensed securities brokers with extensive experience in the investment industry. They are a team with the express purpose of efficiently and thoroughly assisting any need that may arise. *Back Office:* In addition, you will have access to hundreds of service representatives who provide support to your plan investments and online account access. You will not find more qualified service teams to help you with questions, transactions, and all areas of service.