



PRODUCTS AND SERVICES OFFERED

- Asset Allocation and Diversification
 - US & International Stocks
 - Corporate & Government Bonds
 - Municipal Bonds
 - Treasuries
 - CD's
 - Mutual Funds
- Employer Sponsored Retirement Plans
 - 401(k) Plans
 - 457 Plans
 - Non-Profit 403(b) & TSA's
 - SIMPLE & SEP IRA's
 - Profit Sharing Plans
 - Defined Benefit Plans
- Individual Retirement Planning
 - Traditional & Roth IRA's
 - Retirement Plan Rollovers
- College Planning (529's)
- Charitable Trusts
- Professionally Managed Accounts

CORE VALUES

JDL Securities realizes that our past and future successes result from a vision of excellence and the values by which we live. These values are a set of principles which capture the spirit and the very culture of our company. We believe that we will succeed as a result of the values we embrace.

INTEGRITY

We shall be reliable and dependable; you can count on us to keep our promises. We shall say what we do and do what we say.

HONESTY

We shall accurately report all the facts available to us. We shall be genuine, open and above board in our relationships.

STEWARDSHIP

We shall use all resources wisely and prudently.

HUMILITY

We are in business to serve clients, employees, partners, vendors and our community.

TEAMWORK

We shall engender a cooperative and unselfish spirit by maintaining good working relationships and supporting the efforts and goals of others. At JDL Securities we have an esprit de corp, enjoying being an encouraging team.



1001 Dove Street, Suite 160, Newport Beach, CA 92660
Phone: 949-752-5206 Fax: 949-752-0631
www.jdlsecurities.com

Art created by Richard Bunkall



INVESTING
WITH A PURPOSE



JDL SECURITIES

INDEPENDENT AND UNBIASED

Since 1991, in an effort to maintain objectivity, JDL Securities, has been an independent firm with no in-house products to market. Our sole interest for each of our clients is to find the most appropriate prescription, to set investment priorities and to help them achieve their financial goals.

SUPERIOR INVESTMENT APPROACH

The firm's disciplined roadmap to success builds on extensive experience, incorporates balance and diversification into each client-tailored portfolio. Our firm emphasizes client's satisfaction and business growth through long-term customer loyalty and referrals. Clients seeking a systematic approach to achieve their financial goals shall find it at JDL Securities.

COMPREHENSIVE SERVICE

As an independent broker/dealer, JDL Securities provides highly personalized customer care and a wide range of investment products and services. We work closely with our client's accountant and attorney, to achieve a consensus of qualified counsel. We encourage our clients to take full advantage of regular portfolio reviews.

CLIENT PROFILE

INDIVIDUAL INVESTORS

JDL Securities offers client-centered solutions best suited to meet long term investment objectives. Individuals seeking to achieve financial comfort and retirement wealth shall find our experienced team ready to provide the highest quality of personalized service.

COMPANY 401(K) / 403(B) CLIENTS

JDL Securities specializes in serving retirement plan clients of all sizes. We assist in selecting sound and cost-effective plans and then continually monitor the assets. We work with administrators, employees and investment companies in managing individual plans smoothly, while educating our clients in understanding their fiduciary responsibilities and complying with plan laws.

ENDOWMENT & CORPORATE ACCOUNTS

Prudent analysis and disciplined investment strategies are essential elements to the services JDL Securities provides to our corporate clients and charitable endowment accounts. We recommend, manage and assist in growing these accounts with a keen eye toward maximizing tax advantages and meeting fiduciary requirements.



OUR COMMITMENT

At JDL Securities, we purpose to provide a comprehensive and systematic approach to achieve clients' aspirations. We listen to our clients' needs, accumulate information and then suggest the right prescription to assist each client in accomplishing their personal financial goals. We serve individuals and families through education and the creation of "all-weather portfolios," so they have peace in the midst of financial storms. It is our goal to offer the highest degree of satisfaction. As managing finances becomes more and more complex, sound advice and guidance becomes increasingly valuable. JDL Securities will exceed our clients' expectations in order to establish a new standard of excellence in the financial industry. Our clients deserve nothing less.

*“Making the Complex,
Simple”*